Results of Brief Enforcement Hearings – June 14, 2006

Pam Roach: Candidate for King County Council in 2003

PDC Case No: 06-322

Results: Ms. Roach violated RCW 42.17.080 and .090 by failing to timely file nine Monetary Contribution Reports (PDC Form C-3) disclosing \$10,725 in unreported monetary contributions. The information was filed between 498 and 664 days late, and more than 20 months after the 2003 primary election. Ms. Roach also violated RCW 42.17.080 and .090 by failing to timely file three C-4 reports disclosing \$5,725 in contributions and \$2,329 in expenditures. That information was filed 695 days late. One C-4 report included missing contribution and expenditure information for the period October 1 – October 14, 2003.

Assessed Penalty: A \$500 penalty was assessed, of which \$250 was suspended on the condition that no further violations of RCW 42.17 are committed for four years from the date of the order.

No Utility Tax in Edgewood: Political Committee formed to support a May 17, 2005, local ballot measure.

Results: No Utility Tax in Edgewood violated RCW 42.17.040 by failing to timely file a Political Committee Registration Statement (PDC Form C-1pc). The C-1pc was filed approximately six months after the May 17th ballot measure, and 320 days late. No Utility Tax in Edgewood violated RCW 42.17.080 and .090 by failing to timely file ten C-3 reports disclosing \$7,120 in previously unreported monetary contributions. The contributor information was filed between 161 and 403 days late and more than 10 months after the May 17, 2005 special election had been held. No Utility Tax in Edgewood also violated RCW 42.17.080 and .090 by failing to timely file C-4 reports disclosing \$7,347 in contributions and \$6,727 in expenditures. The C-4 information was filed between 104 and 411 days late and failed to include information for two orders placed, debts or obligations from a vendor totaling \$3,364.72 for printing.

Assessed Penalty: A \$500 penalty was assessed.

2006 Personal Financial Affairs Statement: Group Enforcement (F-1 Report): Round 1:

• See attached spreadsheet of hearing results (Note-staff sent out 501 warning letters, and 174 hearing notices. 58 cases went to brief enforcement as part of Round 1, with 6 of those stipulating prior to the hearing for a total of 52 listed on the spreadsheet).

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2006 Lobbyist Employer Group Enforcement (L-3 Report): Round 2:

- Naturist Action Committee-PDC Case #06-346: violated RCW 42.17.180 for failing to timely file the annual Lobbyists Employer Report (PDC Form L-3) and assessed a penalty of \$150.
- Northern Star Natural Gas LLC-PDC Case #06-351: violated RCW 42.17.180 for failing to timely file the annual Lobbyists Employer Report (PDC Form L-3) and assessed a penalty of \$150.